



2001P04281WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wolfgang Jugovec *et al*

Art Unit: 2643

Serial No.: 10/656,106

Examiner: to be assigned

Filing Date: 09/08/2003

Atty. Docket: 2001P04281WOUS

For: Method and communication system for generating response messages

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
U.S Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Application Number  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

Listed on accompanying form PTO/SB/08A are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§1.56, 1.97 and 1.98.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. §120 priority documents.

- This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of the first Office Action on the merits. No certification or fee is required.

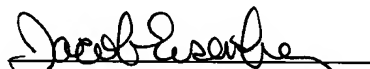
- The document(s) was/were cited in a corresponding foreign application, international search report, and/or within the US filed application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed form and to indicate in the official file of this patent application that the documents have been considered.

It is Applicants understanding that no fee is due for this request. However, in the event that the Patent and Trademark Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No.: 502464** referencing docket no.: 2001P04281WOUS. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/656,106
Filing Date	09/08/2003
First Named Inventor	Wolfgang Jugovec
Art Unit	2643
Examiner Name	to be assigned
Attorney Docket Number	2001P04281WOUS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,907,274	03/06/1990	Toshiba K.K.	
		US- 5,950,167	09/07/1999	Lucent Technologies	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 889 626 A1	01.07.1999	OCTEL COMMUNICA		
		EP 1 065 652 A1	01.03.2001	Pioneer Corporation		
		WO 99/07118	02/11/1999	British Telecommunicat		

Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	10/656,106		
		Filing Date	09/08/2003		
		First Named Inventor	Wolfgang Jugovec		
		Art Unit	2643		
		Examiner Name	to be assigned		
Sheet	2	of	2	Attorney Docket Number	2001P04281WOUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		IEEE Expert October 1995, "Navigating the Information Superhighway Using Spoken Language Interfaces", author: Victor W. ZUE, XP002919108	
		BT Technol J Vol 14 No 2 April 1996, "Interactive speech system for telecommunications applications"	
		8153 Computer, 23 (1990) August, No. 8, Los Alamitos, CA, US, "Anser An Application of Speech Techn	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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